# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Appl	ication of:	`)
Marc Glazer, Jacqueline Fidanza, Glenn McGall, Curtis Frank, and Richard Vinci		) Parent Examiner: Hai Vo )
Serial No.	TBA (Divisional of USSN 09/545,207)	) Parent Art Unit: 1771
Filed:	Herewith	)
	rous Silica Substrates for Polymer othesis and Assays	) )

Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

# **INFORMATION DISCLOSURE STATEMENT**

Sir:

Pursuant to 37 C.F.R. §§ 1.97-1.99, Applicants hereby submit the enclosed PTO Forms1449. This Information Disclosure Statement is in compliance with the continuing duty of candor as set forth in 37 C.F.R. § 1.56 and is being filed with the above-identified application.

Under 35 USC §120, this application relies on the earlier filing date of application serial

number 09/545,207, filed on April 7, 2000 and provisional application serial number 60/128,402,

filed April 8, 1999. Hard copies of the references identified on the PTO Form 1449 were submitted

to and/or cited by the Office in the prior patent application(s) and, therefore, are not provided in this

application.

In the judgment of the undersigned, portions of the listed references may be material to the

Examiner's consideration of the presently pending claims. However, the references have not been

reviewed in sufficient detail to make any other representation and, in particular, no representation is

intended as to the relative importance of any portion of the references. This statement is not a

representation that the listed references have effective dates early enough to be "prior art" within the

meaning of 35 U.S.C. § 102 or § 103.

Please apply any charges or credits to Deposit Account No. 19-0733.

Respectfully submitted,

Dated: Vouemby 4,7203

**X**o.: 34,628

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#### U.S. Department of Commerce Serial No. TBA USPTO Form 144 9 Attorney Docket No. 03848-00132 Patent and Trademark Office Applicants: Marc I. Glazer, et al. INFORMATION DISCLOSURE CITATION Group: TBA Sheet 1 of 5 Filing Date: Herewith U.S. PATENT DOCUMENTS Name Class Subclass Filing Date Examiner Patent No. Date (if appropriate) Initial 134 07/22/69 AA3,640,093 02/08/72 Levene et al. 65 65 22 04/06/78 AB 4,220,461 09/02/80 Samanta AC01/17/84 Satoh et al. 65 18.1 01/17/84 4,426,216 AD 4,528,010 07/09/85 Edahiro et al. 65 18.1 07/09/85 AE 4,765,818 08/23/88 Che et al. 65 18.1 08/23/88 18.3 AF 5,009,688 04/23/91 Nakanishi 65 04/23/91 436 518 03/07/90 AG 5,143,854 09/01/92 Pirrung et al. 11/13/90 548 303.7 AH 5,252,743 10/12/93 Barrett et al. 2 09/14/92 02/22/94 Ellman 427 ΑI 5,288,514 01/24/95 Winkler et al. 436 518 11/22/91 AJ 5,384,261 FOREIGN PATENT DOCUMENTS Examiner Document No. Date Country Class Subclass Translation Initial YES NO ΑK 12/27/89 **EPO** EP 0 348 041 A ΑL EP 0 368 279 05/16/90 EP AM JP 8151232 A 06/11/96 Japan WO 89/10977 **PCT** AN 11/16/89 11/30/89 WO AO WO 89/11548 OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, etc.) AP Antonietti, et al., "Synthesis of Mesoporous Silica with Large Pores and Bimodal Pore Size Distribution by Templating of Polymer Latices", (1998) Advanced Materials 10:2, pp. 154-159 Arshady et al., "Peptide Synthesis. . . . ," J. Chem. Soc. Perkin. Trans., 1:529-537 (1981) AQ AR Chaiken, "Semisynthetic Peptides and Proteins," CRC Crit. Rev. Biochem., 11:255-301 (1981)

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(1996)

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<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant. \*\*Copies of references not provided at the time of this submission.

			S. Department of Commerce	Attorney Docket No. 03848-00132		Serial No. TBA			
		_	Patent and Trademark Office	Applicants: Marc I. Glazer et al.					
1	NFORM	IATION DISCLOSURE Sheet 2 of 5	CITATION	Filing Date: Herewith			Group: TBA		
			U.S. PATENT	DOCUMENTS			1		
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							YES	NO	
	BL	WO 92/10092	06/25/92	wo					
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## USPTO Form 144 9 U.S. Department of Commerce Attorney Docket No. 03848-00132 Serial No. TBA Patent and Trademark Office Applicants: Marc I. Glazer et al. INFORMATION DISCLOSURE CITATION Sheet 3 of 5 Filing Date: Herewith Group: TBA U.S. PATENT DOCUMENTS Subclass Patent No. Class Filing Date Examiner Date Name (if appropriate) Initial 5 CA 11/05/96 Hubbell et al. 430 05/24/94 5,571,639 CB 5,599,695 02/04/97 Pease et al. 435 91.1 02/27/95 CC 5,624,711 04/29/97 Sundberg et al. 427 261 04/29/97 39 CD 501 01/18/96 5,624,875 04/29/97 Nakanishi et al. CE Stern et al. 317 02/10/94 5,631,734 05/20/97 356 CF 6 5,639,603 06/17/97 Dower et al. 435 11/02/93 CG 5,677,195 10/14/97 Winkler et al. 436 518 11/20/92 6 CH 5,710,000 01/20/98 435 06/07/95 Sapolsky et al. FOREIGN PATENT DOCUMENTS Translation Examiner Document No. Date Country Class Subclass Initial YES NO 05/04/95 CI WO 95/11995 WO CJ WO 97/10365 03/20/97 WO OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, etc.) CK Glazer et al. in Organic/Inorganic Hybrid Materials II, Volume 576 (L.C. Klein, L.F. Francis, M.R. De Guire, J.E. Mark, editors) (proceedings of the Materials Research Society meeting, Spring 1999) pp. 371-376 CL Holland et al., "Synthesis of Macroporous Minerals with Highly Ordered Three-Dimensional Arrays of Spheroidal Voids", Science, 281, pp. 538-540 (1998) CM Kaiser et al., "Peptide and Protein Synthesis by Segment Synthesis-Condensation," Science, 243:187-192 (1989) CN Kent, "Chemical Synthesis of Peptides and Proteins," Ann. Rev. Biochem., 57:957-989 (1988) CO Lockhart et al., "Expression Monitoring by Hybridization to High-Density Oligonucleotide Arrays," Nature Biotechnology, 14:1675-1680 (1996) CP McGall et al., "The Efficiency of Light-Directed Synthesis of DNA Arrays on Glass Substrates", J. Am. Chem. Soc. 119:22, pp. 5081-5090 (1997) DATE CONSIDERED EXAMINER

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not

considered. Include copy of this form with next communication to Applicant. \*\*Copies of references not provided at the time of this submission.

### USPTO Form 144 9 U.S. Department of Commerce Attorney Docket No. 03848-00132 Serial No. TBA Patent and Trademark Office Applicants: Marc I. Glazer, et al. INFORMATION DISCLOSURE CITATION Group: TBA Sheet 4 of 5 Filing Date: Herewith U.S. PATENT DOCUMENTS Filing Date Patent No. Class Subclass Examiner Date Name Initial (if appropriate) 422 131 02/14/95 DA 5,744,101 04/28/98 Fodor et al. 6 DB 5,744,305 04/28/98 Fodor et al. 435 06/06/95 435 6 09/16/92 DC 5,770,358 06/23/98 Dower et al. 6 10/21/94 DD 08/18/98 Chee et al. 435 5,795,716 6 DE 5,800,992 09/01/98 Fodor et al. 435 06/25/96 DF 11/03/98 Pease et al. 536 25.3 04/19/96 5,831,070 22.1 05/16/95 DG Chee et al. 536 5,837,832 11/17/98 McGall 435 6 09/18/95 DH 5,843,655 12/01/98 DI 5,858,462 01/12/99 Yamazaki 427 226 07/18/96 DJ 5,858,659 01/12/99 Sapolsky et al. 435 6 11/29/95 FOREIGN PATENT DOCUMENTS Document No. Subclass Translation Examiner Date Country Class Initial YES NO DK 10/23/97 **PCT** WO 97/39151 A OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, etc.) DL McGall et al., "Light-Directed Synthesis of High –Density Oligonucleotide Arrays Using Semiconductor Photoresists", Proc. Natl. Acad. Sci 93, pp. 13555-13560 (1996) DM Merrifield, "Solid Phase Synthesis," Science, 232:341-347 (1986) Merrifield, "The Total Synthesis of an Enzyme with Ribonuclease A Activity," J. Am. Chem. Soc., DN 91:501-502 (1969) DO Pease et al., "Light-generated Oligonucleotide Arrays for Rapid DNA Sequence Analysis", Proc. Natl. Acad. Sci 91, pp. 5022-5026 (1994) Proudnikov et al., "Immobilization of DNA in Polyacrylaminde Gel for the Manufacture of DNA and DP DNA-Oligonucleotide Microchips, Anal. Biochem. 259, pp. 34-41 (1998) DQ H. Scholze "New Possibilities for Variation of Glass Structure", J. Non-Crystalline Solids 73:669-680 (1985)DATE CONSIDERED **EXAMINER** \*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant. \*\*Copies of references not provided at the time of this submission.

USPTO Form 144 9 U.S. Department of Commerce Serial No. TBA Attorney Docket No. 03848-00132 Patent and Trademark Office Applicants: Marc I. Glazer, et al. INFORMATION DISCLOSURE CITATION Sheet 5 of 5 Group: TBA Filing Date: Herewith **\U.S. PATENT DOCUMENTS** Class Subclass Filing Date Examiner Patent No. Date Name (if appropriate) Initial 5 01/09/97 EA 5,861,242 01/19/99 Chee et al. 435 427 333 10/24/97 EB 5,919,523 07/06/99 Sundberg et al. 458.1 05/15/97 EC 5,981,956 11/09/99 250 Stern 250 461.2 06/09/97 ED 6,025,601 02/15/00 Trulson et al. 6 EE Lockhart et al. 435 09/15/95 6,040,138 03/21/00 5 02/07/97 EF 05/08/01 435 6,228,575 Gingeras et al. 10 EG 6,262,216 07/17/01 McGall 528 10/13/98 FOREIGN PATENT DOCUMENTS Subclass Translation Document No. Class Examiner Date Country Initial YES NO EH WO 98/41534 A 09/24/98 PCT OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, etc.) Subramania et al., "Optical Photonic Crystals Fabricated From Collodial Systems", Applied Physics EI Letters 74:26, pp. 3933-3935 (1999) EJ Subramania et al., "Ordered Macroporous Materials by Colloidal Assembly: A Possible Route to Photonic Bandgap Materials", Advanced Materials, 11:15, pp. 1261-1265 (1999) ΕK Velev et al., "Porous silica via colloidal crystallization" Nature 389, pp. 447-448 (1997) EL Wijnhoven et al., "Preparation of Photonic Crystals Made of Air Spheres in Titania", Science 281, pp. 802-804 (1998) **EM** "Chemistry for Automated DNA Synthesis," PCR-Mate EP Model 391 DNA Synthesizer User's Manual, Applied Biosystems, Section 6, pp. 1-24 (2002) EN Sol-Gel Science: The Physics and Chemistry of Sol-Gel Processing (C.J. Brinker and G.W. Scherer, editors) Academic Press, 1990, pp. 787-797 DATE CONSIDERED **EXAMINER** \*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not

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